



# EMPOT: PARTIAL ALIGNMENT OF DENSITY MAPS AND RIGID BODY FITTING USING UNBALANCED GROMOV-WASSERSTEIN DIVERGENCE



arxiv

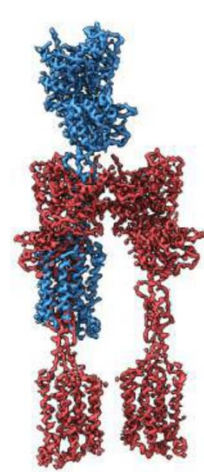


github

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## Abstract

- Aligning density maps and fitting atomic models are key in cryo-EM.
- This remains **challenging** when one map partially fits the other
- Our new method: **EMPOT** (EM Partial alignment with Optimal Transport).

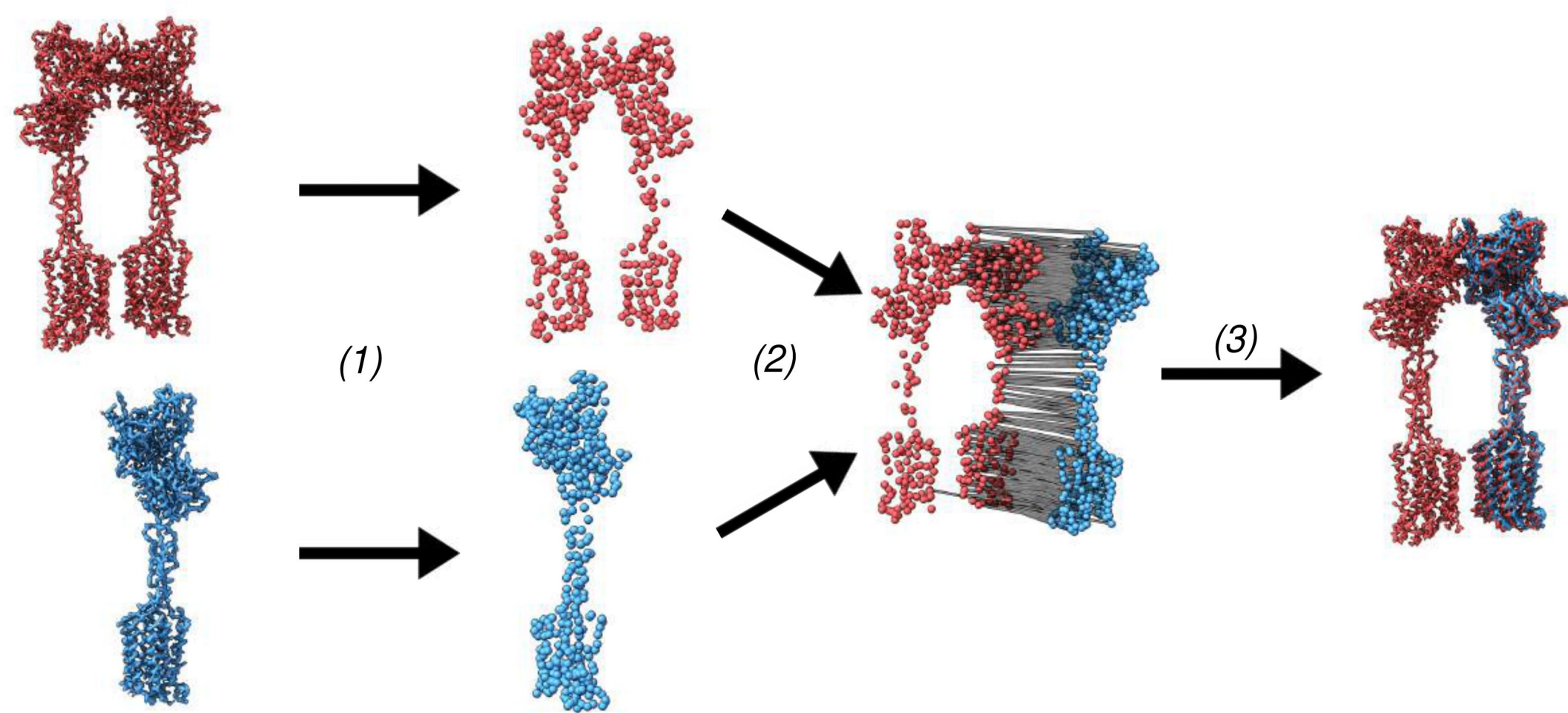


Misalignment of two maps using ChimeraX standard function

## Alignment Procedure

**EMPOT** three main steps:

- (1) Converting density maps to point clouds
- (2) Finding an optimal mapping between point clouds
- (3) Calculating the associated rotation + translation



Main features:

- Fast (~1 min)
- Invariant from initial positions
- Can handle partial maps
- Can be applied to fit atomic models

## Methods

- (1) We use the **Topology representing network algorithm** (TRN)<sup>1</sup> 3D voxelized maps  $(\mathcal{A}; \mathcal{B})$   $\longrightarrow$  3D point clouds  $A = \{a_1, \dots, a_n\}, B = \{b_1, \dots, b_m\}$

We define **intrinsic cost functions** for  $A$  and  $B$

$$C_{i,j}^a = d(a_i, a_j)^2, C_{i,j}^b = d(b_i, b_j)^2, \text{ where } d \text{ is the Euclidean distance.}$$

- (2) We find an **optimal mapping**  $P \in \mathbb{R}_{\geq 0}^{n \times m}$ , by **minimizing**

$$\sum_{i,j=1}^n \sum_{k,l=1}^m \left\| C_{i,j}^a - C_{k,l}^b \right\|^2 P_{i,k} P_{j,l} + \rho [\text{KL}^q(\pi_1, n) + \text{KL}^q(\pi_2, m)],$$

that defines the **Unbalanced Gromov-Wasserstein divergence**<sup>2</sup>

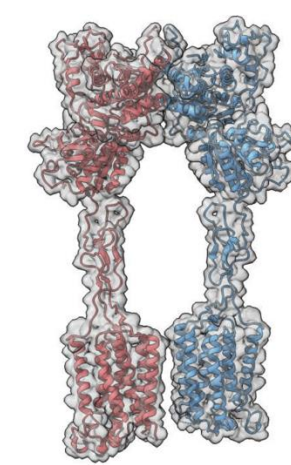
- $\rho > 0$ : unbalanced parameter,
- $\pi_1, \pi_2$ : marginal distribution constraints  
 $\pi_{1,j} = \sum_i P_{j,i}, \pi_{2,j} = \sum_i P_{i,j}$
- $\text{KL}^q$ : quadratic Kullback-Leibler divergence  
 $\text{KL}^q(\pi_1, n) = \sum_{i,j} \log(n^2 \pi_{1,i} \pi_{1,j}) \pi_{1,i} \pi_{1,j} - \sum_{i,j} \pi_{1,i} \pi_{1,j}$

We **match point clouds** as  $\pi(a_i) = b_{\arg\max_j P_{i,j}}$ .

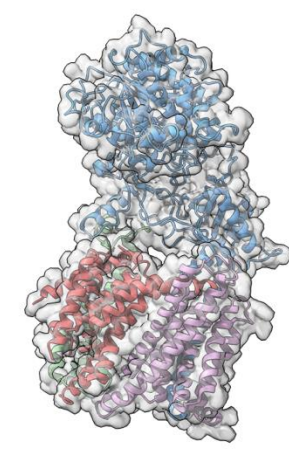
- (3) **Rigid body transform** (rotation + translation) associated with  $\pi$
- $$R_{opt}, T_{opt} = \arg\min_{R,T} \sum_{i=1}^n \|Ra_i + T - \pi(a_i)\|^2,$$
- is calculated using the **Kabsch**<sup>3</sup> algorithm.

## Datasets

We test EMPOT on two atomic cryo-EM structures. Subunits in blue were used for partial alignment with the global maps.



PDB:6N52



PDB:5FN5

## Results (1) Partial Alignment

PDB:6N52 (50 repeated experiments)

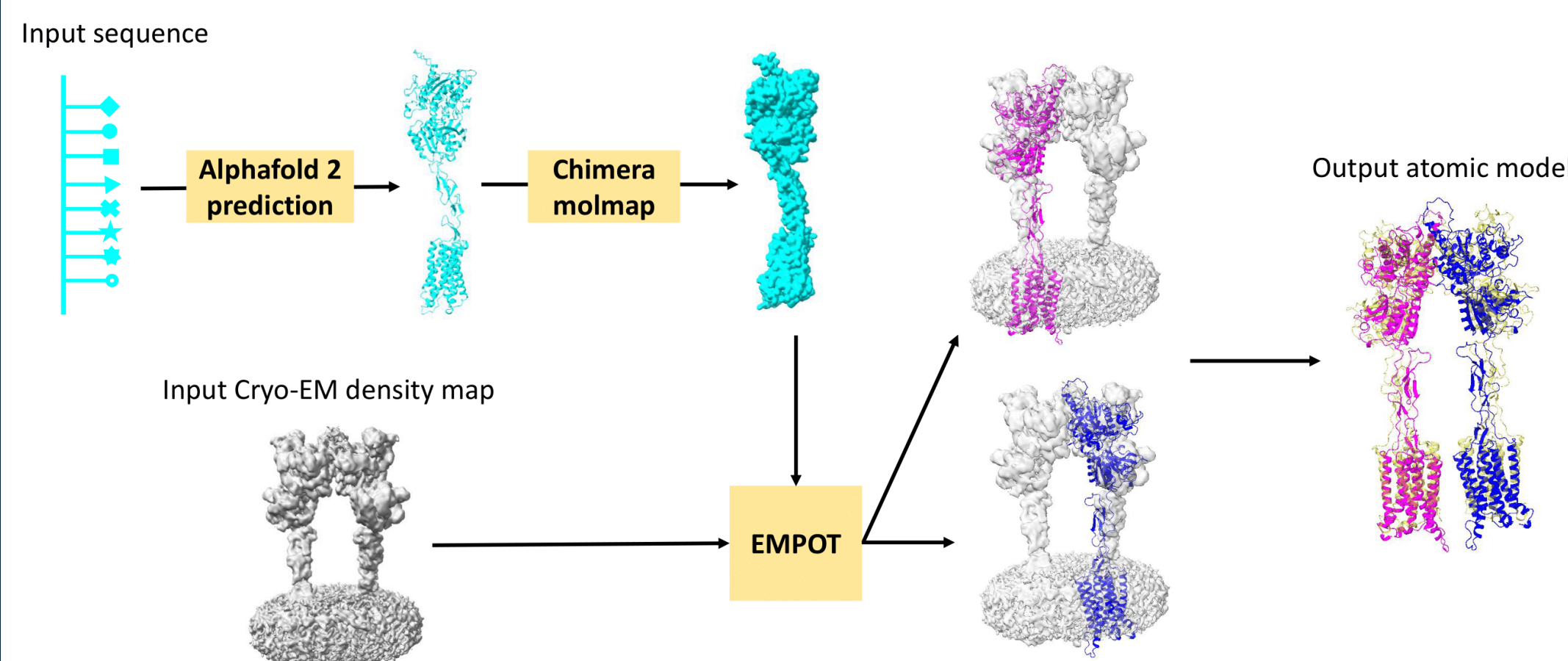
Metric	EMPOT	AlignOT <sup>4</sup>	BOTalign <sup>5</sup>	EMAlign <sup>6</sup>	ChimeraX <sup>7</sup>
Angle difference	$4.22 \pm 5.74$	$20.15 \pm 17.63$	$10.16 \pm 1.16$	$42.61 \pm 65.43$	$99.15 \pm 49.60$
RMSD	$5.20 \pm 7.54$	$255.41 \pm 6.99$	$252.00 \pm 4.99$	$128.32 \pm 130.88$	$311.38 \pm 150.42$

PDB:5FN5 (50 repeated experiments)

Metric	EMPOT	EMAlign <sup>6</sup>	ChimeraX <sup>7</sup>
Angle difference	$4.08 \pm 0.003$	$132.34 \pm 47.61$	$102.31 \pm 60.05$
RMSD	$1.97 \pm 0.002$	$276.96 \pm 116.43$	$260.79 \pm 157.19$

## Results (2) Model Building

**Model building procedure:** We generate structures from the input sequence using *AlphaFold* and run EMPOT to fit the structure with a density map



Performance in reconstructing a subunit of PDB:6N52

Metric	EMPOT	phenix.doc k_in_map	gmfit	DEMO-EM
TM-score	0.722	0.701	0.670	0.551

## Conclusion & Future Work

- Our new method **outperforms standard methods for partial alignment of EM maps**
- More experiments needed (more datasets, test different resolutions, weights, point cloud methods...)
- Refine the method for model building

## References

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This research was supported by a NFRFE-2019-00486 grant, a Mitacs Accelerate grant IT12184, and through computational resources and services provided by Advanced Research Computing at UBC